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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number

09/807,802

Filing Date

04/17/2001

First Named Inventor

James M. Wilson et al

Group Art Unit

Examiner Name

Total Number of Pages in This Submission

11

Attorney Docket Number

GNVPN.031USA

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):

Copies of (45) references are attached hereto.

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

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Howson and Howson

Signature

Cathy A. Kodroff

Date

12/4/01

CERTIFICATE OF MAILING

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PATENT TRADEMARK OFFICE

GNVPN.031USA

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

James M. Wilson et al

Appln. No. 09/807,802

Filed: April 17, 2001

For: ADENO-ASSOCIATED VIRUS
SEROTYPE I NUCLEIC ACID
SEQUENCES, VECTORS AND HOST
CELLS CONTAINING SAME

) Group Art Unit:

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) Examiner:

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) December 4, 2001

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Commissioner for Patents
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants submit to the Examiner the attached Form PTO/SB/08A/B document listing and this paper pursuant to 37 CFR §1.56 and § 1.97-1.98. Form PTO/SB/08A/B is attached and copies of the references are enclosed herewith.

Documents (AL), (AR), and (AS), which are cited in the attached PTO/SB/08A/B, were previously cited in prior International Application No. PTO/US99/25694, filed November 2, 1999. This application is a 371 of that International patent application.

Listed below are previously filed, co-pending, U. S. and foreign Patent Applications. These applications and the present application are commonly owned:

- (1) U. S. Patent Application No. 08/836,087, filed August 25, 1997, now U. S. Patent No. 5,871,982, issued February 16, 1999
U. S. Patent Application No. 09/246,320, filed February 8, 1999, now U. S. Patent No. 6,251,677, issued June 26, 2001
U. S. Patent Application No. 09/546,738, filed April 11, 2000 (related International Patent Application No. WO96/13598, published May 9, 1996)

- (2) U. S. Patent Application No. 09/242,742, filed February 22, 1999
(related International Patent Application No. WO98/10088, published March 12, 1999)
- (3) U. S. Patent Application No. 09/242,743, filed February 22, 1999, now U. S. Patent No. 6,274,354, issued August 14, 2001
(related International Patent Application No. WO98/10086, published March 12, 1998)
- (4) U. S. Patent Application No. 09/528,427, filed March 17, 2000
(related International Patent Application No. WO99/15677, published April 1, 1999)
- (5) U. S. Patent Application No. 09/528,470, filed March 17, 2000
(related International Patent Application No. WO99/14354, published March 25, 1999)
- (6) U. S. Patent Application No. 09/528,017, filed March 17, 2000
(related International Patent Application No. WO99/15685, published April 1, 1999)
- (7) U. S. Patent Application No. 09/665,852, filed September 20, 2000
(related International Patent Application No. WO99/47691, published September 23, 1999)
- (8) U. S. Patent Application No. 09/404,555, filed September 23, 1999, now U. S. Patent No. 6,258,595, issued July 10, 2001
(related International Patent Application No. WO00/55342, published September 21, 2000)
- (9) International Patent Application No. WO00/75353, published December 14, 2000
- (10) U. S. Patent Application No. 09/659,203, filed September 11, 2000
(related International Patent Application No. WO01/23597, published April 5, 2001)
- (11) U. S. Patent Application No. 09/670,277, filed September 27, 2000
(related International Patent Application No. WO01/23001, published April 5, 2001)
- (12) International Patent Application No. WO01/40455, published June 7, 2001

(13) International Patent Application No. WO01/83692, published November 8, 2001

(14) U. S. Provisional Patent Application No. 60/262,938, filed January 19, 2001


This Information Disclosure Statement is submitted more than three months from the filing date of this application, but prior to receipt of an Office Action on the merits. Therefore, no fees are believed due. However, the Director of the U. S. Patent and Trademark Office is hereby authorized to charge any deficiency in any fees due with the filing of this paper or credit any overpayment in any fees paid on the filing, or during prosecution of this application to Deposit Account No. 08-3040.

The Examiner is respectfully requested to consider the documents identified in this paper and in the attached Form PTO/SB/08A/B during the course of the examination of this application.

Respectfully submitted,

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By


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